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FORM 19b-4(e)

DIVISION OF MARKET REGULATION

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust
- 3. Class of New Derivative Securities Product:

**Trust Issued Receipt** 

4. Name of Underlying Instrument: Internet Architecture HOLDRS Trust

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:



- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ, NYSE
- 8. Settlement Methodology of New Derivative Securities Product:

T + 3, Physical Settlement

PROCESSED

9. Position Limits of New Derivative Securities Product (if applicable): N/A

FINANCIAL

Part II

N/A

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Gary N. Sundick

Title:

Vice President, Listing Qualifications

Securities Exchange Act of 1934 Act

Telephone Number:

301 978-5214

19b-4 Section

Manual Signature of Official Responsible for Form:

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Date:

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Availability: